



HW

PATENT  
Customer No. 22,852  
Attorney Docket No. 2887.0269

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: )  
)  
KATSUHIKO HIEDA ET AL. )  
)  
Application No.: 10/804,159 ) Group Art Unit: 2811  
)  
Filed: March 19, 2004 ) Examiner: Unknown  
)  
For: SEMICONDUCTOR DEVICE AND ) Confirmation No.: 7405  
METHOD OF FABRICATING THE )  
SAME )

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)**

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), applicants bring to the attention of the Examiner the documents listed on the attached PTO 1449. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed documents are attached.

Applicants respectfully request that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

The following is a concise statement of relevance of the non-English language documents. In addition, English-language Abstracts of the documents are attached.

1. Japanese Publication No. 2003-31650 is discussed on page 6 of the present application.

2. Japanese Publication No. 2002-367980 is discussed on page 6 of the present application.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and applicants determine that the cited documents do not constitute "prior art" under United States law, applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of such documents.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW,  
GARRETT & DUNNER, L.L.P.

Dated: \_\_\_\_\_

10/7/04

By: \_\_\_\_\_

Richard V. Burgujian  
Reg. No. 31,744

# INFORMATION DISCLOSURE CITATION

Atty. Docket No.	2887.0269	Appln. No.	10/804,159
Applicant	Hieda et al.		
Filing Date	March 19, 2004	Group:	2811

## U.S. PATENT DOCUMENTS

Examiner Initial*	Document Number	Issue Date	Name	Class	Sub Class	Filing Date If Appropriate
	2004/0072429 A1	4/15/04	Hieda et al.			

## FOREIGN PATENT DOCUMENTS

Document Number	Publication Date	Country	Class	Sub Class	Translation Yes or No
2003-31650	1/31/03	Japan			Abstract
2002-367980	12/20/02	Japan			Abstract

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Sato et al., "Advanced Spin Coating Film Transfer and Hot-Pressing Process for Global Planarization with Dielectric-Material-Viscosity Control," Jpn. J. Appl. Phys. (April 2002), 41:2367-73

Examiner	Date Considered
<p>*Examiner: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	
Form PTO 1449	Patent and Trademark Office - U.S. Department of Commerce